

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit :
Examiner :
Serial No. : 09/648,304
Filed : August 25, 2000
Inventors : Airton Monza da Silveira

36th Floor
1600 Market Street
Philadelphia, PA 19103

Docket: 1369-00

Confirmation No. 6411

Title :
: NANOPARTICLES COMPRISING
: AT LEAST ONE POLYMER AND
: AT LEAST ONE COMPOUND
: ABLE TO COMPLEX ONE OR
: MORE ACTIVE INGREDIENTS

Dated: April 18, 2001

RECEIVED
AUG 07 2001
TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

Attn: Application Processing Division
Customer Correction Branch
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

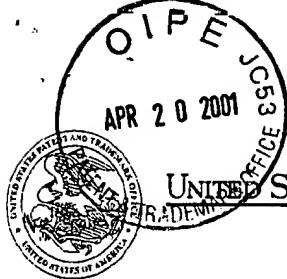
We are in receipt of the official filing receipt. We note that corrections in two of the inventors' names need to be corrected. Please change "gilles" to --Gilles-- and please change "Patric" to --Patrick--. A copy of the filing receipt with the corrections noted in red is enclosed.

We look forward to receiving a corrected filing receipt in due course.

Respectfully submitted,

T. Daniel Christenbury
Reg. No. 31,750

TDC:sl
(215) 563-1810



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/648,304	08/25/2000	1615	437	1369-00	10	23	1

CONFIRMATION NO. 6411

UPDATED FILING RECEIPT



OC000000005940140

IP Department
Schnader Harrison Segal & Lewis
36th Floor, 1600 Market Street
Philadelphia, PA 19103

RECEIVED

APR 13 2001

SHSL
IP DEPT.

Date Mailed: 04/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Airton Monza da Silveira, Porto Alegre, BRAZIL;
Gilles Ponchel, Paris, FRANCE;
Dominique Duchene, Paris, FRANCE;
Patrick Couvreur, Villebon sur Yvette, FRANCE;
Francis Puisieux, Maisons Alfort, FRANCE;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/FR99/00418 02/24/1999

Foreign Applications

FRANCE 98/02429 02/27/1998

If Required, Foreign Filing License Granted 10/06/2000

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **



#5
\$8.
8-14-01
Receipt
TECH CENTER 1600/2900
AUG 07 2001
RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 36th Floor
Examiner : 1600 Market Street
Serial No. : 09/648,304 Philadelphia, PA 19103
Filed : August 25, 2000
Inventors : Airton Monza da Silveira Docket: 1369-00
: Gilles Ponchel Confirmation No. 6411
: Dominique Duchene
: Patrick Couvreur
: Francis Puisieux
Title : NANOPARTICLES COMPRISING
: AT LEAST ONE POLYMER AND
: AT LEAST ONE COMPOUND
: ABLE TO COMPLEX ONE OR
: MORE ACTIVE INGREDIENTS

Dated: April 18, 2001

Attn: Application Processing Division
Customer Correction Branch
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Certificate of Mailing Under 37 CFR 1.8

For

Postcard
Request for Corrected Filing Receipt
Filing Receipt with Corrections Noted in Red

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on the date appearing below.

Name of Applicant, Assignee, Applicant's Attorney
or Registered Representative:

Schnader Harrison Segal & Lewis
36th Floor
1600 Market Street
Philadelphia, PA 19103
(215) 563-1810

By: TS

Date: 18 APR 2001

Title

Nanoparticles comprising at least one polymer and at least one compound able to complex one or more active ingredients

Preliminary Class

424

Data entry by : BETEMARIAM, TIRUAYENET **Team :** OIPE

Date: 04/05/2001

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231